

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Russell M. Sampson et al.

Appln. No.: 10/052,157

Filed: January 16, 2002

For: APPARATUS AND METHOD FOR  
TREATING VENOUS REFLUX

Group Art: 3739

Examiner: Unknown

**POWER OF ATTORNEY BY  
ASSIGNEE**Commissioner for Patents  
Washington, D.C. 20231

Sir:

NOVACEPT, INC., assignee of the entire right title and interest in the above-identified application by assignment dated May 21, 2002, which assignment was recorded in the Patent and Trademark Office on June 3, 2002, at Reel 12944, frame 435, hereby appoints the members of the firm of STALLMAN & POLLOCK LLP, a firm composed of:

Michael A. Stallman  
Michael J. Pollock29,444  
29,098Kathleen A. Frost  
Brian J. Keating37,326  
39,520

as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

STALLMAN & POLLOCK LLP  
Attn: Kathleen A. Frost  
121 Spear Street, Suite 290  
San Francisco, CA 94105

Telephone: (415) 512-1312  
Facsimile: (415) 512-1362

Dated: 08/13/02

NOVACEPT, INC.

By: Name: Russell M. SampsonTitle: VP R&D



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

END-01300

AUGUST 01, 2002

RECEIVED

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

PTAS

AUG 06 2002

STALLMAN & POLLOCK LLP  
KATHLEEN A. FROST  
121 SPEAR STREET, SUITE 290  
SAN FRANCISCO, CA 94105

STALLMAN & POLLOCK LLP



\*102111858A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/03/2002

REEL/FRAME: 012944/0435  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SAMPSON, RUSSELL M.

DOC DATE: 05/21/2002

ASSIGNOR:  
SKALNYI, EUGENE

DOC DATE: 05/21/2002

ASSIGNOR:  
HILARIO, ESTELA

DOC DATE: 05/21/2002

ASSIGNEE:  
NOVACEPT, INC.  
1047 ELWELL COURT  
PALO ALTO, CALIFORNIA 94303

✓ SERIAL NUMBER: 10052157  
PATENT NUMBER:

FILING DATE: 01/16/2002  
ISSUE DATE:

012944/0435 PAGE 2.

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-05-2002



Docket No.: ENVS-1300

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patents and Trademarks 102111858

attached original documents or copy thereof.

1. Name of conveying party(ies):

Russell M. Sampson  
Eugene Skalny  
Estela Hilario

6-3-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: May 21, 2002

2. Name and address of receiving party(ies):

Name: NOVACEPT, INC.

Internal Address: 1047 Elwell Court

Street Address: 1047 Elwell Court

City: Palo Alto

State: CA

ZIP: 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/052,157

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: STALLMAN & POLLOCK LLP

Internal Address: Attn: Kathleen A. Frost

Street Address: 121 Spear Street, Suite 290

06/05/2002 PAID 1 00000017 10052157

01 FC:581

40.00 OP

City: San Francisco

State: CA ZIP: 94105

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1703

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen A. Frost (Reg. No. 37,326)

Name of Person Signing

Signature

May 24, 2002

Date

Total number of pages including cover sheet, attachments, and document:

3

ASSIGNMENT

WHEREAS, WE, Russell M. Sampson, Eugene Skalni and Estela Hilario, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: APPARATUS AND METHOD FOR TREATING VENOUS REFLUX

Application (Declaration/Oath) Execution Date: \_\_\_\_\_

Filing Date: January 16, 2002

Application No.: 10/052,157


WHEREAS, NOVACEPT, INC., a corporation of the State of California, 1047 Elwell Court, Palo Alto, California 94303 hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 05/21/02

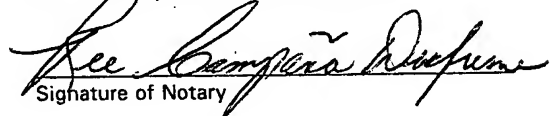
  
Russell M. Sampson

State of California

County of Santa Clara

On May 21, 2002 before me, Russel Sampson  
personally appeared and personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary

SEAL



IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: May 21, 2002

Eugene Skalny  
Eugene Skalny

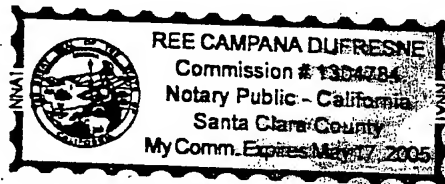
State of California  
County of Santa Clara

On May 21, 2002 before me, Eugene Skalny, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Ree Campana Dufresne  
Signature of Notary

SEAL



IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: May 21, 2002

Estela Hilario  
Estela Hilario

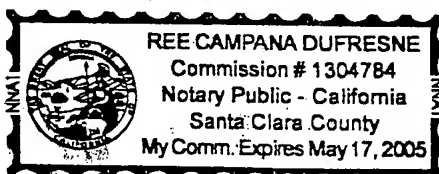
State of California  
County of Santa Clara

On May 21, 2002 before me, Estela Hilario, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Ree Campana Dufresne  
Signature of Notary

SEAL



**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**APPARATUS AND METHOD FOR TREATING VENOUS REFLUX**

the specification of which (check one) ☐ is attached hereto or ☒ was filed on January 16, 2002 as Application No. 10/052,157 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed  
Yes No

Number Country Day/Month/Year Filed

☐ ☐

Number Country Day/Month/Year Filed

☐ ☐

I hereby claim the benefit under 35 U.S.C. § 119(3) of any United States provisional application(s) below:

60/261,321  
Application Number

January 16, 2001  
Filing Date

Application Number

Filing Date

Application Number

Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| Application Number | Filing Date | Status: Patented, Pending, Abandoned |
|--------------------|-------------|--------------------------------------|
|--------------------|-------------|--------------------------------------|

| Application Number | Filing Date | Status: Patented, Pending, Abandoned |
|--------------------|-------------|--------------------------------------|
|--------------------|-------------|--------------------------------------|

| Application Number | Filing Date | Status: Patented, Pending, Abandoned |
|--------------------|-------------|--------------------------------------|
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Russell M. Sampson

Inventor's signature



03/12/02  
Date

Residence 271 Diablo Avenue, Mountain View, California 94043

Citizenship U.S.A.

Post Office Address 271 Diablo Avenue, Mountain View, California 94043

Full name of second joint inventor, if any, Eugene Skalny

Inventor's signature



5/21/02  
Date

Residence 189 MARVIN AVE. LOS ALTOS, CA 94022

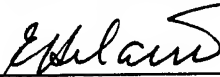
1909 Springer Road, Mountain View, California 94040

Citizenship Moldova

Post Office Address 1909 Springer Road, Mountain View, California 94040

Full name of third joint inventor, if any, Estela Hilario

Inventor's signature



5/21/02  
Date

Residence 887 Altos Oaks Drive, Los Altos, California 94024

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Post Office Address 887 Altos Oaks Drive, Los Altos, California 94024



PATENT

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Russell M. Sampson et al.

Application No.: NEW

Filed: HEREWITH

For: APPARATUS AND METHOD FOR  
TREATING VENOUS REFLUX

Group No.: Unknown

Examiner: Unknown

**SMALL ENTITY STATEMENT  
37 CFR 27**

121 Spear Street, Suite 290  
San Francisco, CA 94105

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Applicant is a small entity.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Date: January 16, 2002

By: Kathleen A. Frost  
Kathleen A. Frost (Reg. No. 37,326)

Attorneys for Applicant(s)

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Tel.: (415) 512-1312  
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